

Form 210A (10/06)

## United States Bankruptcy Court

SOUTHERN District Of NEW YORK

In re LEHMAN BROTHERS INC,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the  
transfer, other than for security, of the claim referenced in this evidence and notice.

CVF Lux Master S.a.r.l.

Name of Transferee

Name and Address where notices to transferee  
should be sent: c/o CarVal Investors UK Limited  
Knowle Hill Park

Fairmile Lane  
Cobham  
Surrey KT11 2PD

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

HAWAII PEA FUND

Name of Transferor

Court Claim # (if known): 66882

Amount of Claim: \$2,469,568.62

Date Claim Filed: June 22, 2010

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By: /s/ David Short  
Transferee/Transferee's Agent

Date: February 23, 2011

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**EXHIBIT E**

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:

Ch-11 LEHMAN BROTHERS HOLDINGS, INC.

Debtor

Case No. 08-13555

Chapter 11

**NOTICE OF TRANSFER OF CLAIM  
PURSUANT TO RULE 3001(e)**

PLEASE TAKE NOTICE that that any and all claims of Hawai PEA Fund ("Assignor") that are scheduled by the debtor(s) and or filed as an original or amended Proof of Claim against the Debtor(s), including but not limited to the following :

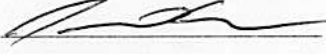
Proof of Claim Amount	Proof of Claim No.
\$2,469,568.62	66882

have been transferred and assigned to CVF Lux Master S.a.r.l. ("Assignee"). The signature of Assignor on this document is evidence of the transfer of the claims and all rights thereto.

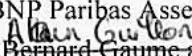
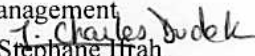
Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to the Assignee.

ASSIGNEE: CVF Lux Master S.a.r.l.


Address: c/o CarVal Investors UK Limited  
Knowle Hill Park  
Fairmile Lane  
Cobham  
Surrey KT11 2PD  
United Kingdom  
BY CARVAL INVESTORS UK LIMITED


Signature:   
Name: DAVID SHORT  
Title: OPERATIONS MANAGER  
Date: \_\_\_\_\_

ASSIGNOR: Hawai PEA Fund

Address: c/o BNP Paribas Asset Management  
Attn:    
14 rue Bergere 75009 Paris France

Signature: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

  
A. Guillon  
Attorney in Fact  
17 Dec 2010

  
Jean-Charles DUDER  
Portfolio Manager

Lehman Brothers Holdings Inc. (Chapter 11)  
Change Client[Client Home](#) [Claims](#) [Docket](#) [Key Documents](#)[Home](#) » [Search Claims](#) [Bookmark this Page](#)

## Search Claims

### Criteria

<b>Claim Number</b> 66882	<b>Creditor Name</b> Name Starts With	<b>Scope</b> Claims and schedules
<b>Schedule Number</b>	<b>Amount</b> Total Claim Value	Equals
<b>Debtor</b>	<b>Claim Date Range</b>	

Order By: Creditor Name

Results Per Page: 50

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### Results

[Expand All](#)**[1] Page 1 of 1 - 01 total items**

Claim #	Schedule #	Creditor Name	Date	Total Claim Value	
66882		HAWAI PEA FUND	6/22/2010	\$2,469,568.62	<a href="#">Image</a>
		C/O BNP PARIBAS ASSET MANAGEMENT ATTN: BERNARD GAUME AND STEPHANE IFRAH 14 RUE BERGERE PARIS, 75009 FRANCE	Claimed Unsecured:	\$2,469,568.62	
		Debtor: Lehman Brothers Holdings Inc.	Remarks: AMENDS CLAIM 36793 CLAIMED CONTINGENT, PARTIALLY UNLIQUIDATED		

**[1] Page 1 of 1 - 01 total items**COMPANY INFORMATION AND SERVICES | FORMS | CORPORATE | HOME | CONTACT | SUBSCRIBE | SITE MAP | DISCLAIMER | TERMS OF USE | PRIVACY STATEMENT | SAFE HARBOR | RSS FEEDS  
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